



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Tadahiro MASAKI et al.

Serial No.: 09/871,706

Filed: June 4, 2001

For: PROTECTIVE DIFFUSION FILM, PROCESS FOR  
PRODUCING THE SAME, SURFACE LIGHT SOURCE  
DEVICE, AND LIQUID CRYSTAL DISPLAY DEVICE

SUBMISSION OF ORIGINAL EXECUTED APPLICATION

Commissioner for Patents  
Box Missing Parts  
Washington, D. C. 20231

Sir:

The above identified application was duly filed on June 4, 2001, in the Japanese language. Accordingly, submitted herewith is a Verified English-language Translation of the application. Also, submitted herewith is a fully executed Declaration and Assignment of the inventors. Attached is our check for \$1,010.00, which includes \$130.00 to cover the payment of the fee in accordance with 37 CFR 1.17(i), \$130.00 to cover the payment of the fee in accordance with 37 CFR 1.16(e), \$40.00 to cover the fee for

PLEASE ACCEPT THIS AS  
AUTHORIZATION TO DEBIT  
OR CREDIT FEES TO  
DEP. ACCT. 16-0331  
PARKHURST & WENDEL

09871706 091901

recordation of the Assignment document, and the remainder to cover the full filing fees.

Entry of these documents should complete all of the filing formalities, and fully satisfy the Notice mailed July 31, 2001. Accordingly, examination and allowance of the application in due course are respectfully solicited.

The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 16-0331. Two duplicate copies of this letter are attached.

Respectfully submitted,

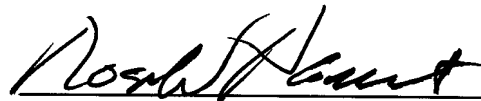
PARKHURST & WENDEL, L.L.P.

September 19, 2001  
Date

RWP/ame

Attorney Docket No. DAIN:640

PARKHURST & WENDEL, L.L.P.  
1421 Prince Street, Suite 210  
Alexandria, Virginia 22314-2805  
Telephone: (703) 739-0220

  
\_\_\_\_\_  
Roger W. Parkhurst  
Registration No. 25,177



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/871,706	06/04/2001	Tadahiro Masaki	DAIN:640

CONFIRMATION NO. 9057

## FORMALITIES LETTER



\*OC000000006366410\*

PARKHURST & WENDEL, L.L.P.  
Suite 210  
1421 Prince Street  
Alexandria, VA 22314-2805

Date Mailed: 07/31/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 550.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

09/21/2001 EABUBAK1 00000005 09871706

10/29/2001 HADAMI 00000000 160331 09871706

01 FC:101	710.00 OP
02 FC:135	130.00 OP
03 FC:105	130.00 OP

01 FC:104 270.00 CH

A copy of this notice MUST be returned with the reply.

Seble

Adjustment date: 04/23/2002 EABUBAK1  
10/29/2001 HADAMI 00000000 160331 09871706

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09671706-091901  
"90471860"



#16  
Receipt

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In re application of

Tadahiro MASAKI et al.

Serial No.: 09/871,706

Filed: June 4, 2001

For: PROTECTIVE DIFFUSION FILM, PROCESS FOR  
PRODUCING THE SAME, SURFACE LIGHT SOURCE  
DEVICE, AND LIQUID CRYSTAL DISPLAY DEVICE

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service  
Washington, D. C. 20231


Sir:

A corrected filing receipt is hereby requested in view of the error which appears in the original. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the errors have been noted in red.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

Date: September 19, 2001

  
\_\_\_\_\_  
Roger W. Parkhurst  
Registration No. 25,177

Attorney Docket No. DAIN:640  
PARKHURST & WENDEL, L.L.P.  
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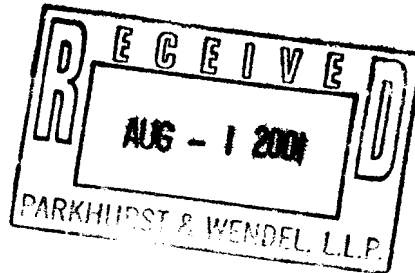


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/871,706	06/04/2001		0.00	DAIN:640	4	16	2

PARKHURST & WENDEL, L.L.P.  
Suite 210  
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Alexandria, VA 22314-2805



CONFIRMATION NO. 9057

## FILING RECEIPT



\*OC000000006366409\*

Date Mailed: 07/31/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Tadahiro Masaki, Tokyo-to, JAPAN;  
Taiji Suga, Tokyo-to, JAPAN;  
Fumihiro Arakawa, Tokyo-to, JAPAN;

## Domestic Priority data as claimed by applicant

Foreign Applications	JAPAN	2000-165791	6-2-2000
	JAPAN	2000-220093	7-21-2000

If Required, Foreign Filing License Granted 07/31/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Protective diffusion film and method for producing the same, flat light source device liquid crystal display device

## Preliminary Class

Process For Producing The Same,  
Surface Light Source Device,  
And Liquid Crystal Display Device